

MHSA COUNTY FISCAL ACCOUNTABILITY CERTIFICATION¹

County/City: El Dorado

- Three-Year Program and Expenditure Plan
- Annual Update
- Annual Revenue and Expenditure Report

<p align="center">Local Mental Health Director</p> <p>Name: Don Ashton, Director</p> <p>Telephone Number: (530) 621-5515</p> <p>E-mail: don.ashton@edcgov.us</p>	<p align="center">County Auditor-Controller / City Financial Officer</p> <p>Name: Joe Harn, Auditor-Controller</p> <p>Telephone Number: (530) 621-5456</p> <p>E-mail: joe.harn@edcgov.us</p>
<p>Local Mental Health Mailing Address:</p> <p align="center">3057 Briw Road, Ste B. Placerville, CA 95667</p>	

I hereby certify that the Three-Year Program and Expenditure Plan, Annual Update or Annual Revenue and Expenditure Report is true and correct and that the County has complied with all fiscal accountability requirements as required by law or as directed by the State Department of Health Care Services and the Mental Health Services Oversight and Accountability Commission, and that all expenditures are consistent with the requirements of the Mental Health Services Act (MHSA), including Welfare and Institutions Code (WIC) sections 5813.5, 5830, 5840, 5847, 5891, and 5892; and Title 9 of the California Code of Regulations sections 3400 and 3410. I further certify that all expenditures are consistent with an approved plan or update and that MHSA funds will only be used for programs specified in the MHSA. Other than funds placed in a reserve in accordance with an approved plan, any funds allocated to a county which are not spent for their authorized purpose within the time period specified in WIC section 5892(h), shall revert to the state to be deposited into the fund and available for counties in future years.

I declare under penalty of perjury under the laws of this state that the foregoing and the attached update/revenue and expenditure report is true and correct to the best of my knowledge.

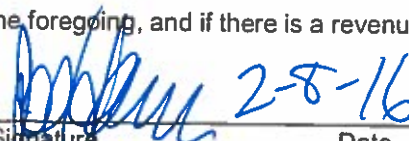
Don Ashton
Local Mental Health Director (PRINT)


Signature Date

I hereby certify that for the fiscal year ended June 30, 2015, the County/City has maintained an interest-bearing local Mental Health Services (MHS) Fund (WIC 5892(f)); and that the County's/City's financial statements are audited annually by an independent auditor and the most recent audit report is dated 03/31/2015 for the fiscal year ended June 30, 2014. I further certify that for the fiscal year ended June 30, 2015, the State MHSA distributions were recorded as revenues in the local MHS Fund; that County/City MHSA expenditures and transfers out were appropriated by the Board of Supervisors and recorded in compliance with such appropriations; and that the County/City has complied with WIC section 5891(a), in that local MHS funds may not be loaned to a county general fund or any other county fund.

I declare under penalty of perjury under the laws of this state that the foregoing, and if there is a revenue and expenditure report attached, is true and correct to the best of my knowledge.

Joe Harn
County Auditor Controller / City Financial Officer (PRINT)


Signature Date

¹ Welfare and Institutions Code Sections 5847(b)(9) and 5899(a)
Three-Year Program and Expenditure Plan, Annual Update, and RER Certification (07/22/2013)

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2014-15
Community Services and Supports (CSS) Summary**

County: **El Dorado** Date: **1/13/2016**

Community Services and Supports Component	Total (Gross) Mental Health Expenditures
FSP Programs	
1 CSS WP1 - Youth and Family Strengthening	\$552,148
2 CSS WP2 - Adult Wellness & Recovery	\$1,434,743
3	
4	
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16	
17	
18	
19	
20	
21	
22	
23	
24	
25	
Subtotal FSP Programs	\$1,986,891
Non-FSP Programs	
1 CSS Non-FSP	\$2,630,605
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
Subtotal Non-FSP Programs	\$2,630,605
Total FSP and Non-FSP Programs	\$4,617,496
CSS Evaluation	
CSS Administration	\$350,531
CSS MHA Housing Program Assigned Funds	
Total CSS Expenditures	\$4,968,027

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2014-15
Prevention and Early Intervention (PEI) Summary**

County: El Dorado

Date:

1/13/2016

Prevention and Early Intervention Component	(A) Total (Gross) Mental Health Expenditures
PEI Programs-Prevention	
1 WP2 - Community Education Project	\$41,305
2 WP4 - Wellness Outreach/Vulnerable Adults	\$25,350
3	
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12	
13	
14	
15	
Subtotal PEI Programs-Prevention	\$66,655
PEI Programs-Early Intervention	
1 WP1 - Youth and Children's Services	\$601,062
2 WP3 - Health Disparities Program	\$213,301
3 WP5 - Community-Base Services	\$113,930
4	
5	
6	
7	
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10	
11	
12	
13	
14	
15	
Subtotal PEI Programs-Prevention	\$928,293
PEI Programs-Other	
1	
2	
3	
Subtotal PEI Programs-Other	\$0
Subtotal PEI Programs-Prevention & Early Intervention and Other	\$994,948
PEI Evaluation	\$0
PEI Administration	\$41,675
Total PEI Expenditures	\$1,036,623

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2014-15
Innovation (INN) Summary**

County: El Dorado

Date:

1/13/2016

	(A)
Innovation Component	Total (Gross) Mental Health Expenditures
Innovation Programs	
1 Planning	\$0
2 Closing the Gap	\$0
3	\$0
4	\$0
5	\$0
6	\$0
7	\$0
8	\$0
9	\$0
10	\$0
11	\$0
12	\$0
13	\$0
14	\$0
15	\$0
16	\$0
17	\$0
18	\$0
19	\$0
20	\$0
21	\$0
22	\$0
23	\$0
24	\$0
25	\$0
Subtotal	\$0
Innovation Evaluation	\$0
Innovation Administration	\$6,426
Total Innovation Expenditures	\$6,426

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2014-15
Workforce Education and Training (WET) Summary**

County: El Dorado **Date:** 1/13/2016

Workforce Education and Training Component	(A) Total (Gross) Mental Health Expenditures
WET Funding Category	
Workforce Staffing Support	
Training and Technical Assistance	\$7,552
Mental Health Career Pathways Programs	\$36,526
Residency and Internship Programs	\$349
Financial Incentive Programs	
Total WET Programs	\$44,427
WET Administration	\$2,390
Total WET Expenditures	\$46,817

**Annual Mental Health Services Act Revenue and Expenditure Report
Fiscal Year 2014-15
Capital Facilities/Technological Needs (CF/TN) Summary**

County: El Dorado **Date:** 1/13/2016

	(A)
Capital Facility/Technological Needs Projects	Total (Gross) Mental Health Expenditures
Capital Facility Projects	
1 WP1 - Electronic Health record (CWS)	\$54,140
2 WP2 - Telehealth	\$24,989
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
Total CF Projects	\$79,129
Capital Facility Administration	
Total Capital Facility Expenditures	\$79,129
Technological Needs Projects	
1	
2	
3	
4	
5	
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7	
8	
9	
10	
11	
12	
13	
Total TN Projects	\$0
Technological Needs Administration	\$2,170
Total Technological Needs Expenditures	\$2,170
Total CFTN Expenditures	\$81,299

**Annual Mental Health Services Act Revenue and Expenditure Report
Fiscal Year 2014-15
Other MHSA Funds Summary**

County: El Dorado**Date:**

1/13/2016

	(A) Total (Gross) Expenditures
Training, Technical Assistance and Capacity Building	\$0
WET Regional Partnerships	\$0
PEI Statewide Projects	\$87,967

**Annual Mental Health Services Act Revenue and Expenditure Report
Fiscal Year 2014-15**

Unencumbered Housing Funds Summary

County: El Dorado **Date:** 1/13/2016

	(A) Total (Gross) Expenditures
Unencumbered Housing Funds	\$0

**Annual Mental Health Services Act Revenue and Expenditure Report
FY 2014-15 Summary**

TABLE A

COUNTY: El Dorado

DATE: 1/13/2016

PEI Statewide Funds assigned to CalMHSA? (Y/N)	Y
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Fiscal Year 2014-15		(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(J)	(K)
		Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Unencumbered Housing Funds	Prudent Reserve	Total-All Components
1	Unspent Funds Available From Prior Fiscal Years¹											
	a Local Prudent Reserve										\$1,898,284	\$1,898,284
	b FY 2006-07 Funds				\$0							\$0
	c FY 2007-08 Funds				\$0	\$0						\$0
	d FY 2008-09 Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0			\$0
	e FY 2009-10 Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0			\$0
	f FY 2010-11 Funds	\$0	\$0	\$567,681	\$140,098	\$592,766	\$13,710	\$0	\$0			\$1,314,255
	g FY 2011-12 Funds	\$0	\$0	\$178,575	\$0	\$0	\$21,700	\$0	\$120,278			\$320,553
	h FY 2012-13 Funds	\$1,557,946	\$630,549	\$323,260	\$0	\$0						\$2,511,755
	i FY 2013-14 Funds	\$3,819,655	\$954,914	\$251,293	\$0	\$0						\$5,025,862
	j Cumulative Interest	\$30,078	\$11,206	\$8,831	\$3,653	\$12,347	\$317	\$0	\$3,337			\$69,769
	k TOTAL	\$5,407,679	\$1,596,669	\$1,329,640	\$143,751	\$605,113	\$35,727	\$0	\$123,615	\$0	\$1,898,284	\$11,140,478
2	MHSA Funds Revenue in FY 2014-15²											
	a Transfer of funds from the Local Prudent Reserve										\$0	\$0
	b FY 2014-15 MHSA Revenue Received	\$5,346,640	\$1,336,660	\$351,753				\$0		\$0		\$7,035,053
	c FY 2014-15 Interest Earned on MHSA Funds	\$18,235	\$5,384	\$4,483	\$485	\$2,040	\$0	\$0	\$734	\$0	\$0	\$31,361
	d TOTAL	\$5,364,875	\$1,342,044	\$356,236	\$485	\$2,040	\$0	\$0	\$734	\$0	\$0	\$7,066,414
3	Expenditure and Funding Sources for FY 2014-15³											
	A MHSA Funds											
	a FY 2006-07 MHSA Funds				\$0							\$0
	b FY 2007-08 MHSA Funds				\$0	\$0						\$0
	c FY 2008-09 MHSA Funds				\$0	\$0		\$0				\$0
	d FY 2009-10 MHSA Funds				\$0	\$0		\$0				\$0
	e FY 2010-11 MHSA Funds				\$46,817	\$81,299		\$0				\$128,116
	f FY 2011-12 MHSA Funds	\$0	\$0	\$6,426	\$0	\$0	\$0	\$0	\$87,967			\$94,393
	g FY 2012-13 MHSA Funds	\$1,557,946	\$630,549	\$0	\$0	\$0						\$2,188,495
	h FY 2013-14 MHSA Funds	\$3,410,081	\$406,074	\$0	\$0	\$0						\$3,816,155
	i FY 2014-15 MHSA Funds	\$0	\$0	\$0	\$0	\$0		\$0		\$0		\$0
	MHSA Net Expenditures Subtotal for FY 2014-15	\$4,968,027	\$1,036,623	\$6,426	\$46,817	\$81,299	\$0	\$0	\$87,967	\$0		\$6,227,159
	j Interest	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
	B Other Funds											
	a 1991 Realignment	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0		\$0
	b Behavioral Health Subaccount	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0		\$0
	c Other	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0		\$0
	d TOTAL MHSA and Other Funds	\$4,968,027	\$1,036,623	\$6,426	\$46,817	\$81,299	\$0	\$0	\$87,967	\$0		\$6,227,159
	e Total Program Expenditures	\$4,968,027	\$1,036,623	\$6,426	\$46,817	\$81,299	\$0	\$0	\$87,967	\$0		\$6,227,159

NOTE TO COUNTY: Total Program Expenditures, 3(d), MUST match Total Expenditure Funding Sources, 3(e). If ERROR, recheck and correct.

PEI Statewide Funds assigned to CalMHSA? (Y/N)	Y
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Fiscal Year 2014-15	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(J)	(K)
	Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Unencumbered Housing Funds	Prudent Reserve	Total-All Components
4 Transfers to Prudent Reserve, WET, CFTN⁴											
a FY 2012-13	\$0			\$0	\$0					\$0	\$0
b FY 2013-14	\$0			\$0	\$0					\$0	\$0
c FY 2014-15	\$0			\$0	\$0					\$0	\$0
5 Adjustments⁵											
a Local Prudent Reserve										\$0	\$0
b FY 2006-07 Funds				\$0							\$0
c FY 2007-08 Funds				\$0	\$0						\$0
d FY 2008-09 Funds				\$0	\$0		\$0				\$0
e FY 2009-10 Funds				\$0	\$0		\$0				\$0
f FY 2010-11 Funds				\$0	\$0		\$0				\$0
g FY 2011-12 Funds	\$0	\$0	\$0	\$0	\$0		\$0				\$0
h FY 2012-13 Funds	\$0	\$0	\$0	\$0	\$0						\$0
i FY 2013-14 Funds	\$0	\$0	\$0	\$0	\$0						\$0
j FY 2014-15 Funds	\$0	\$0	\$0	\$0	\$0		\$0		\$0		\$0
k Interest	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0		\$0
l TOTAL	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
6 Unspent Funds in the Local MHS Fund⁶											
a Local Prudent Reserve Balance										\$1,898,284	\$1,898,284
b FY 2006-07 Funds				\$0							\$0
c FY 2007-08 Funds				\$0	\$0						\$0
d FY 2008-09 Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0			\$0
e FY 2009-10 Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0			\$0
f FY 2010-11 Funds	\$0	\$0	\$567,681	\$93,281	\$511,467	\$13,710	\$0	\$0			\$1,186,139
g FY 2011-12 Funds	\$0	\$0	\$172,149	\$0	\$0	\$21,700	\$0	\$32,311			\$226,160
h FY 2012-13 Funds	\$0	\$0	\$323,260	\$0	\$0						\$323,260
i FY 2013-14 Funds	\$409,574	\$548,840	\$251,293	\$0	\$0						\$1,209,707
j FY 2014-15 Funds	\$5,346,640	\$1,336,660	\$351,753	\$0	\$0		\$0		\$0		\$7,035,053
k Interest	\$48,313	\$16,590	\$13,314	\$4,138	\$14,387	\$317	\$0	\$4,071	\$0		\$101,130
l TOTAL	\$5,804,527	\$1,902,090	\$1,679,450	\$97,419	\$525,854	\$35,727	\$0	\$36,382	\$0	\$1,898,284	\$11,979,733

Estimated FFP Revenue Generated In FY 2014-15	Amount
Federal Financial Participation (FFP)	\$2,849,221

RER Contact Person	
Name	Michele McAfee
Title	Accountant I
Phone	(530) 295-6910
Email	michele.mcafee@edcgov.us

**Annual Mental Health Services Act Revenue and Expenditure Report for
Year 2014-15
Adjustments Summary**

Fiscal

County: _____

Date: 1/13/2016

FY	Amount	Reason For Adjustment
TOTAL	\$0	
	\$0	

NOTE TO COUNTY: Total Adjustments recorded in the Adjustments Summary worksheet **MUST** match Total Adjustments recorded on the RER Summary Worksheet. If **ERROR**, recheck and correct.

**Annual Mental Health Services Act Revenue and Expenditure Report
FY 2014-15**

END NOTES:

¹ Total unspent funds from prior fiscal years MUST match the Total Unspent Funds in the Local MHS Fund from prior year RER.

² DHCS will utilize the allocation report provided by the SCO and counties should utilize the same report when determining the total State MHSA Fund revenue to be reported on the FY 2014-15 RER. The report is available at:
http://www.sco.ca.gov/ard_payments_mentalhealthservicefund.html

³ Expenditure funding sources for each component must equal the total program expenditures as reported on the Component Summary Worksheets.

⁴ WIC Section 5892(b) permits a County to use up to 20 percent of the average amount of funds allocated to the county for the previous five years to fund technological needs and capital facilities, human resource needs, and a prudent reserve. The amount of funds transferred from CSS will be reported in the CSS column as a negative amount. The funds transferred into WET, CFTN, or Prudent Reserve should be reflected as a positive amount. For each year reported, the amount transferred from CSS should equal zero when added to the funds transferred into WET, CFTN, or Prudent Reserve.

⁵ Payments from the MHSA Fund should be reflected in the Adjustments section as a negative amount. Receipts into the MHSA Fund should be reflected in the Adjustments section as a positive amount.

⁶ Total Unspent funds in the Local MHS Fund will auto populate for each Fiscal Year.

⁷ The FFP amount represents the estimated FFP revenue generated in FY 2014-15 and attributable to MHSA funds.